



MEMORANDUM

TO: The Pennsylvania House Transportation Committee

FROM: Elizabeth Randol, Legislative Director, ACLU of Pennsylvania

DATE: March 22, 2021

RE: OPPOSITION TO HOUSE BILL 521 P.N. 485 (STEPHENS)

[HB 521](#) (PN 485) would create an invasive, continuous monitoring program ordered and enforced by the state and administered by private vendors for those with even a single DUI conviction and no prior offenses. It permits courts to impose surveillance not only as a condition of probation and parole but also pretrial — as a **condition of bail**. HB 521 would punish people too poor to pay monitoring costs and would radically change the conditions of ARD, requiring an admission tantamount to a guilty plea, which is then treated as a prior offense in order to trigger the bill's penalty enhancements.

On behalf of over 100,000 members and supporters of the ACLU of Pennsylvania, I respectfully urge you to oppose House Bill 521.

HB 521 allows invasive surveillance and monitoring by government and private vendors.

[HB 521](#) (PN 485) would permit courts to impose a “substance monitoring program” that would mandate the use of one or more of the following: a continuous alcohol monitoring device; remote breath testing device; random drug testing or any other controlled substance monitoring technology or device as determined by the court.

Surveillance and monitoring may be imposed for those with one DUI conviction and **no prior offenses** not only as a condition of probation or parole, but **as a condition of bail**. In other words, a court can sentence a person to continuous monitoring, tantamount to virtual — but even more invasive — detention, before they have been convicted of a crime. This raises grave concerns surrounding how this program might undermine the presumption of innocence granted to people pre-conviction as well as the erosion of pretrial due process protections. In addition, HB 521 would entirely prohibit people — pretrial — from imbibing alcohol *in any amount*. Alcohol is still legal. The government can prohibit someone from using *illegal drugs* and the government can prohibit people from *operating a vehicle*. But the government should NOT be permitted to prohibit a person from imbibing ALL alcohol BEFORE being convicted of a crime.

These concerns are compounded by the incredible burden this kind of monitoring will place on counties. Counties will be forced to bear the costs of longer terms of probation supervision, increased monitoring of those on probation, increased costs of county detention and incarceration, and the cost of the monitoring itself if a defendant cannot afford to pay for the mandated surveillance, assuming this program does not unconstitutionally punish people who cannot afford the monitoring costs.

HB 521 will punish people who are too poor to pay monitoring costs.

HB 521 requires that defendants “shall pay” all monitoring costs. At the very least, bill language should change “shall pay” to “may” pay costs.¹ Counties **must be required** to pay costs if the defendant cannot — or else the defendant cannot be punished for not paying. This is already constitutionally required, as the Constitution prohibits punishing a person for nonpayment, and the Superior Court has explained that it is **unconstitutional**

¹ For example: “THE COURT ~~MAY SHALL~~ ORDER THE COUNTY TO FINANCE COSTS ASSOCIATED WITH THE SUBSTANCE MONITORING PROGRAM IF THE COURT, AT ANY TIME, DETERMINES THE INDIVIDUAL LACKS THE FINANCIAL ABILITY TO PAY COSTS ASSOCIATED WITH THE INDIVIDUAL'S PARTICIPATION IN A SUBSTANCE MONITORING PROGRAM.”

to deny individuals equal treatment in the criminal justice system based on wealth.² It is also required by [Pa.R.Crim.P. 706](#), which the Superior Court explained applies even to costs imposed pretrial.³

Additionally, *when* does the defendant have to pay? Is this a "pay as you go" structure? If so, then the legislature is setting up an administrative nightmare for the local courts and counties. It is **unconstitutional** to not refund someone for costs associated with a criminal prosecution if the defendant is not convicted.⁴ Thus, if the charges are dismissed, or will no longer be prosecuted, or anything else that does not lead to a conviction for a DUI, the defendant would be **constitutionally entitled to a refund**. The court and counties would have to keep track of what s/he had paid and refund those expenses. To avoid this outcome, the bill should specify that any costs associated with a substance monitoring program must be paid only *after* conviction.

HB 521 strictly limits Accelerated Rehabilitative Disposition (ARD) for DUIs.

Currently, ARD does not require an admission by the defendant. HB 521 includes a provision that would make ARD more akin to a no contest plea,⁵ where the defendant agrees to the evidence the commonwealth presents before they can be admitted into ARD. As a result, ARD becomes an admission of guilt. And HB 521 then **uses that admission of guilt to count as a prior offense** in order to trigger the bill's penalty enhancements for prior offenses.

And lest anyone argue that the legislature has failed to hyper-penalize DUI offenses, in **2018 ALONE**, the General Assembly created 9 new penalties and 1 new offense for DUI-related charges⁶, including:

- Driving while operating privilege is suspended or revoked, 75 Pa.C.S. 1543 (b)(ii) (makes a second violation a summary offense punishable by 90 days' incarceration);
- Makes a third offense a misdemeanor of the third degree, punishable by a year in prison, 75 Pa.C.S. 1543 (b)(iii);
- Aggravated assault by vehicle while driving under the influence, 75 Pa.C.S. 3735.1 (a.1) (creates a mandatory minimum of two years confinement);
- Homicide by vehicle while driving under the influence, 75 Pa.C.S. 3735 (a)(1)(II) (makes the unintentional death of another person while under the influence of alcohol a felony of the first degree if previously guilty of another DUI and implements consecutive mandatory minimum sentences of three, five, and seven years depending on prior offenses);
- Accidents involving death or personal injury while not properly licensed, 75 Pa.C.S. 3742.1 (creates a new sub-offense by expanding the definition from anyone who caused an accident that resulted in injury or death to anyone who acted with negligence that contributed to causing an accident that resulted in injury or death, and added two new penalties to this new sub-offense — a misdemeanor of the third degree if injury results and a misdemeanor of the second degree if death results);
- Makes it a felony of the third degree punishable by seven years incarceration for anyone who violates section 3802 and has previously been convicted of homicide by vehicle, 75 Pa.C.S. 3803 (a)(3);
- Makes the refusal to submit to a breathalyzer or blood test a felony of the third degree punishable by seven years, if the individual has two or more prior offenses under this statute, 75 Pa.C.S. 3803(b)(4.1);
- Adds an additional penalty, increasing the penalty from a misdemeanor of the first degree to a felony of the third degree, punishable by seven years incarceration, if an individual violates this statute, has a minor in the vehicle, and has two or more prior offenses, 75 Pa.C. S. 3803 (b)(5).

For these reasons, we urge you to oppose House Bill 521.

² Parrish v. Cliff, 304 A.2d 158, 162 (Pa. 1973); Commonwealth v. Melnyk, 548 A.2d 266, 268 (Pa. Super. Ct. 1988) (preventing a defendant from participating in ARD due to indigence would "deprive the petitioner of her interest in repaying her debt to society without receiving a criminal record simply because, through no fault of her own, she could not pay restitution. Such a deprivation would be contrary to the fundamental fairness required by the Fourteenth Amendment").

³ Commonwealth v. Dennis, 164 A.3d 503, 509 (Pa. Super. Ct. 2017).

⁴ Nelson v. Colorado, 137 S. Ct. 1249 (2017).

⁵ [Pa.R.Crim.P. 590](#).

⁶ [Act 153 of 2018](#).